

## REQUEST FOR PROPOSAL TO APPOINT A SERVICE PROVIDER TO DEVELOP AND STRUCTURE THE SFF OIL AND GAS UPSTREAM AFRICA STRATEGY

<b>RFP Number</b>	<b>SFF/2023/087</b>
<b>Issue date</b>	<b>16/01/2024</b>
<b>Non-compulsory briefing session:</b>	<b>22/01/2024 via TEAMS at 13h00 (SA Time)</b> Interested parties to request a link for the meeting from <a href="mailto:caseyt@strategicfuelfund.co.za">caseyt@strategicfuelfund.co.za</a>
<b>Closing Date &amp; Time</b>	<b>15/02/2024 AT 16:00 hrs South African Time</b>
<b>Closing Venue</b>	<b>ONLINE SUBMISSIONS ONLY at <a href="http://www.etenders.gov.za">www.etenders.gov.za</a></b>
<b>Bid Validity Period</b>	<b>120 calendar days from closing date</b>

<b>Company Name of Tendering Entity:</b>	
<b>Trading as (if different from above)</b>	
<b>Contact Person:</b>	
<b>Contact Number:</b>	
<b>Email address:</b>	
<b>Physical Address:</b>	

### TENDERER TO NOTE:

- A. SFF tendering conditions apply
- B. Late/incomplete proposals will not be considered

<b>TENDER SERIAL NO.:</b>
<b>SIGNATURES OF SFF OFFICIALS AT TENDER OPENING</b>
1.
2.
3.

## TO APPOINT A SERVICE PROVIDER TO DEVELOP AND STRUCTURE THE SFF OIL AND GAS UPSTREAM AFRICA STRATEGY

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## LIST OF ACRONYMS

B-BBEE	Broad-Based Black Economic Empowerment
CEF	Central Energy Fund
EME	Exempted Micro Enterprise
GBC	General Tender Conditions
ID	Identity Document
JV	Joint Venture
LOA	Letter of Appointment
NDA	Non-Disclosure Agreement
PC	Procurement Committee
PPPFA	Preferential Procurement Policy Framework Act
PTN	Post-Tender Negotiations
QSE	Qualifying Small Enterprise
RFP	Request for Proposal
SME	Small Medium Enterprise
SOC	State Owned Company
VAT	Value-Added Tax
ZAR	South African Rand

## PART 1: INVITATION TO TENDER

### 1 GENERAL

Submissions to this Request for Proposal (RFP) [hereinafter referred to as a Tender or a Proposal] are requested from persons, companies, close corporations or enterprises [hereinafter referred to as an entity, or Tenderer].

#### 1.1 DESCRIPTION

SFF/087/2023 – RFP is for the Appointment of a Service Provider, to Develop, and Structure the SFF Oil and Gas Upstream Africa Strategy.

#### 1.2 ISSUE AND COLLECTION DATE DEADLINE

This RFP may be downloaded directly from National Treasury's e-Tender Publication Portal at [www.etenders.gov.za](http://www.etenders.gov.za) free of charge.

To download RFP and Annexures (if any):

- In the "Search" box, choose "Search by Organ of State" and type "Strategic Fuel Fund".

Once the tender has been located in the list, click on the "Expand" icon and proceed to download all uploaded documents.

The RFP may also be downloaded from the Strategic Fuel Fund ("SFF") website at [www.strategicfuelfund.co.za](http://www.strategicfuelfund.co.za) free of charge. No tender documents will be emailed and/or couriered to potential tenderers.

#### 1.3 BRIEFING SESSION TEAMS MEETING

A non-compulsory virtual briefing session will be held via Microsoft Teams, **on 22 January 2024 at 13h00 (SA TIME).**

Potential tenderers can request a link for the meeting from [caseyt@strategicfuelfund.co.za](mailto:caseyt@strategicfuelfund.co.za)

Despite the virtual briefing session being non-compulsory, SFF encourages all potential tenderers to attend. SFF will not be held responsible if any tenderer who did not attend the non-compulsory session subsequently feels disadvantaged as a result thereof.

#### 1.4 CLOSING DATE

The RFP will close on **15 FEBRUARY 2024 at 16h00 SA time**. Tenderers must ensure that tenders are **UPLOADED timeously** to the correct National Treasury eTender Portal ([www.etenders.gov.za](http://www.etenders.gov.za)).

As a general rule, if a Tender is late or delivered to the incorrect address, it will not be accepted for consideration. Any additional information or clarification will be uploaded on the SFF website and the National Treasury e-tender publication portal.

## 1.5 PROPOSAL SUBMISSION

**Only online submissions will be accepted.**

In this regard, Proposals must be submitted online utilising the National Treasury eTender Portal at [www.eTenders.gov.za](http://www.eTenders.gov.za)

**NOTE: COMPANY REGISTRATION AND SUBMISSION of your tender proposal ONLINE should be done a few days BEFORE the closing date and NOT on the day of closing.**

## 2 **DELIVERY INSTRUCTIONS FOR RFP**

### 2.1 Delivery is ONLY via online submission

Proposals to be uploaded on the National Treasury eTender portal at [www.etenders.gov.za](http://www.etenders.gov.za)

## 3 **BROAD-BASED BLACK ECONOMIC EMPOWERMENT AND SOCIO-ECONOMIC OBLIGATIONS**

SFF Association fully endorses and supports the Government's objective of Broad-Based Black Economic Empowerment and it is strongly of the opinion that all South African business enterprises have an equal obligation to redress the imbalances of the past.

### 3.1 B-BBEE Joint Ventures or Consortiums

Tenderers who would wish to respond to this RFP as a Joint Venture [JV] or consortium with B-BBEE entities, must state their intention to do so in their RFP submission. Such Tenderers must also submit a signed JV or consortium agreement between the parties clearly stating the percentage [%] split of business and the associated responsibilities of each party. If such a JV or consortium agreement is unavailable, the partners must submit confirmation in writing of their intention to enter into a JV or consortium agreement should they be awarded business by SFF association through this RFP process. This written confirmation must clearly indicate the percentage [%] split of business and the responsibilities of each party. In such cases, award of business will only take place once a signed copy of a JV or consortium agreement is submitted to SFF Association.

### 3.2 Preference Points and Specific Goals

As prescribed in terms of the Preferential Procurement Policy Framework Act (PPPFA), Act 5 of 2000 and Preferential Procurement Regulations 2022, Tenderers are to note that the following preference point systems:

- **the 80/20 system for requirements with a Rand value of up to R50,000,000 (all applicable taxes included) OR**
- **the 90/10 system for requirements with a Rand value above R50,000,000 (all applicable taxes included)**

When SFF association invites prospective Service Providers to submit Proposals for its Specific Goals, it requires Tenderers to complete Annexure A [the B-BBEE Preference Point Claim Form] and submit it together with proof of their documents as stipulated in the Claim Form in order to obtain Specific Goal Points.

**Note:** Failure to submit a valid and certified copy B-BBEE certificate or Sworn Affidavit or any other documents specified (as evidence for Specific Goals) at the Closing Date of this RFP will result in a score of zero being allocated for Specific Goals.

### 3.3 Subcontracting

SFF association fully endorses Government's transformation and empowerment objectives and when contemplating subcontracting. Tenderers are requested to give preference to companies which are Black Owned, Black Women Owned, Black Youth Owned, owned by Black People with Disabilities, EMEs and QSEs.

In terms of Annexure A of this RFP [the B-BBEE Preference Point Claim Form], Tenderers are required to indicate the percentage of the contract that will be sub-contracted as well as the B-BBEE status of the sub-contractor/s.

In addition, tenderers are required to attach a letter from the intended sub-contractor in the event of the Tenderer's appointment.

## 4 **COMMUNICATION**

- 4.1 Specific queries relating to this RFP can be submitted to [caseyt@strategicfuelfund.co.za](mailto:caseyt@strategicfuelfund.co.za) specifying the reference number **SFF/2023/087** Appointment of a Service Provider, to Develop, and Structure the SFF Oil and Gas Upstream Africa Strategy on the subject line.

The last day for submitting queries is 5 (five) days before the closing date of tender.

**It should be noted that this e-mail address is not to be used for submission of tender proposals.**

- 4.2 Any correspondence with regards to this RFP must be in writing to the provided email address only.
- 4.3 After the closing date of the RFP, a Tenderer may only communicate with the Procurement Unit, specific person for this RFP on any matter relating to its RFP.
- 4.4 Tenderers are to note that changes to its submission will not be considered after the closing date.
- 4.5 Tenderers are warned that a proposal will be liable to disqualification should any attempt be made by a Tenderer either directly or indirectly to canvass any officer or employee of SFF in respect of this RFP between the closing date and the date of the award of the business.
- 4.6 Furthermore, Tenderers found to be in collusion with one another will be automatically disqualified and restricted from doing business with SFF in the future.

## **5 INSTRUCTIONS FOR COMPLETING THE RFP**

- 5.1. Proposals must be submitted in digital electronic format via the National Treasury online eTender Portal ([www.etenders.gov.za](http://www.etenders.gov.za)).
- 5.2. All returnable documents tabled in the Proposal Form [Part 5] must be returned with your proposal.
- 5.3. Unless otherwise expressly stated, all proposals furnished pursuant to this RFP shall be deemed to be offers. Any exceptions to this statement must be clearly and specifically indicated.

## **6 COMPLIANCE**

The successful Tenderer shall be in full and complete compliance with any and all applicable laws and regulations.

## **7 DISCLAIMERS**

Tenderers are hereby advised that SFF is not committed to any course of action as a result of its issuance of this RFP and/or its receipt of Proposals. In particular, please note that SFF reserves the right to:

- 7.1. modify the RFP's Scope of Work and request Tenderers to re-tender on any such changes;
- 7.2. reject any Proposal which does not conform to instructions and scope of work which are detailed herein;
- 7.3. disqualify Proposals submitted after the stated submission deadline [Closing Date];
- 7.4. not necessarily accept the lowest priced Proposal or an alternative Tender;
- 7.5. validate any information submitted by Tenderers in response to this tender. This would include but not limited to, requesting the Tenderer to provide supporting evidence. By submitting a tender, the Tenderer hereby irrevocable grant the necessary consent to SFF to do so;
- 7.6. request audited financial statement or other documentation for the purposes of a due diligence exercise;
- 7.7. award a contract in connection with this Proposal at any time after the RFP's closing date;
- 7.8. award a contract for only a portion of the proposed Services which are reflected in the scope of this RFP;
- 7.9. split the award of the contract between more than one Service Provider, should it at SFF's discretion be more advantageous in terms of, amongst others, cost or developmental considerations;
- 7.10. cancel the tender process.
- 7.11. exclude any Tenderer from the Tendering process who has been found guilty of a serious breach of law during the preceding 5 [five] years, including but not limited to breaches of the Competition Act 89 of 1998. Tenderers are required to declare such serious breach of law during the past 5 [five] years in Part 10 [Breach of Law];
- 7.12. Undertake post-tender negotiations;
- 7.13. award the business to the highest scoring Tenderer/s unless objective criteria justify the award to another Tenderer;

- 7.14 award the business to the next ranked tenderer, provided that he/she is still prepared to provide the required Services at the quoted price, should the preferred tenderer fail to sign or commence with the contract within a reasonable period after being requested to do so. Under such circumstances, the validity of the tenders of the next ranked tenderer(s) will be deemed to remain valid, irrespective of whether the next ranked tenderer(s) were issued with a Letter of Regret. Tenderers may therefore be requested to advise whether they would still be prepared to provide the required Services at their quoted price, even after they have been issued with a Letter of Regret.

Kindly note that SFF association will not reimburse any Tenderer for any preparatory costs or other work performed in connection with its Proposal, whether or not the Tenderer is awarded a contract.

## 8 LEGAL REVIEW

A Proposal submitted by a Tenderer will be subjected to review and acceptance or rejection of its proposed contractual terms and conditions by SFF Legal Advisor, prior to consideration for an award of business.

## 9 NATIONAL TREASURY'S CENTRAL SUPPLIER DATABASE

Tenderers are required to self-register on National Treasury's Central Supplier Database ("CSD") which has been established to centrally administer supplier information for all organs of state and facilitate the verification of certain key supplier information. Tenderers must register on the CSD prior to submitting their bids. Business may not be awarded to a Tenderer who has failed to register on the CSD. **Only foreign suppliers with no local registered entity need not register on the CSD.**

**For this purpose, the attached SBD1 form must be completed and submitted as a returnable document by the closing date and time of the bid (ANNEXURE E).**

## 10 TAX COMPLIANCE

Tenderers must be compliant when submitting a proposal to SFF and remain compliant for the entire contract term with all applicable tax legislation, including but not limited to the Income Tax Act, 1962 (Act No. 58 of 1962) and Value Added Tax Act, 1991 (Act No. 89 of 1991).

It is a condition of this tender that the tax matters of the successful Tenderer be in order, or that satisfactory arrangements have been made with South African Revenue Service (SARS) to meet the Tenderer's tax obligations.

The Tax Compliance status requirements are also applicable to foreign Tenderers who wish to submit tenders.

Where Consortia / Joint Ventures / Sub-contractors are involved, each party must be registered on the Central Supplier Database and their tax compliance status will be verified through the Central Supplier Database.

**For this purpose, the attached SBD1 form must be completed and submitted as a returnable document by the closing date and time of the bid (Please see ANNEXURE E).**



## **11 PROTECTION OF PERSONAL INFORMATION ACT, NO.4 OF 2013**

SFF association acknowledges that the Tenderer may be required to process the personal information of SFF association and other relevant data subjects in connection with and for the purposes of this RFP.

The Tenderer shall–

- (a) only process such personal information for the purpose connected with this RFP, except to the extent specifically requested to do otherwise by SFF association in writing or required by the Protection of Personal Information Act, No.4 of 2013 (POPI) or other applicable laws;
- (b) comply with all directions and instructions which may be given by SFF association regarding the processing of such personal information;
- (c) only process personal information strictly in compliance with POPI;
- (d) secure the integrity and confidentiality of the personal information it processes pursuant to this RFP by taking appropriate, reasonably technical and organisational measures to prevent –
  - (i) loss of, damage to, or unauthorised destruction of such personal information; and/or
  - (ii) unlawful access to or unlawful processing of such personal information.

The Tenderer shall be liable to SFF for its failure to comply with any of its obligations, and shall indemnify SFF against all claims, damages, costs or administrative fines arising from the Tenderer's failure to comply with POPI, except to the extent caused by SFF association.

In evaluating the Tenderer's tender submission, the SFF will process the personal information of the Tenderer and other relevant data subjects in connection with and for the purposes of this RFP. The SFF shall process personal information in accordance with SFF's Privacy Policy which is available on the SFF website – the Tenderer is expected to familiar itself with this Privacy Policy. The SFF reserves the right and the Tenderer is hereby informed that external service providers may be appointed by the SFF to assist SFF in evaluating tender responses and suitability for appointment.

## PART 2. BACKGROUND, OVERVIEW AND SCOPE OF REQUIREMENTS

### 12 BACKGROUND AND SCOPE OF WORK

#### 12.1 Introduction

RFP number **SFF/2023/087** is for a Tenderer, referred to as the Tenderer in this document, to carry out a SFF strategy referred to as: **“To develop and structure the SFF Oil and Gas Upstream Africa Strategy”**.

The Strategic Fuel Fund (“SFF”) is looking to expand its presence beyond South Africa through the potential acquisition of upstream oil and gas assets in other African countries. To achieve this vision, SFF is seeking an experienced service provider to develop and structure its Africa upstream investment strategy.

#### 12.2 Overview

SFF mandate is to guarantee South Africa energy security in the short, mid, and long term, and prudently manages the country’s strategic crude oil stockpile. South Africa domestic demand for petroleum products has increased significantly since 2015 primarily used within the transport sector. Outside of the transport sector, the most significant energy demand increase is expected to be in the industrial sector (manufacturing), followed by the commercial sector.

As a country, South Africa is not hydrocarbon rich, and therefore must import most crude requirements. South Africa consumes approximately 200MM bbl per year (~500k bbl/day). Only in 2022-23, South Africa spend ZAR7.98B in crude imports and less than ZAR1M exports of crude.

SFF’s short-mid-term goals to attempt to close that energy demand gap pass by the crude allocations through assets acquisition leveraged in bi-lateral and cooperation agreements with African countries (Nigeria, Namibia, Angola, Mozambique, EG, South Sudan, Ghana, etc.) and good relationship with its local NOC which can help to materialize favourable deals.

In the scheme above, SFF is more favourable to seek out and engage in joint ventures exploration opportunities at pre-drilling stage to share both technical and financial risks, and low-hanging-fruit production assets, in which if asset exceed a certain cost threshold, SFF should seek a financial partner to minimise any potential risk. This strategy attempts lower the cost per barrel and establish security of supply.

South Africa will continue to pursue a diversified energy mix which will reduce reliance on a single or a few primary energy sources. In that regard, SFF in the longer term is pursuing (1) rank wild-cat exploration opportunities in respect of traditional source of energy and (2) execute modest but steady investment efforts in research and development of alternative energy technologies to establish a green energy supply.

SFF current business model drives towards the servicing of trading entities with crude oil storage requirements. The model is vulnerable to market structures i.e. Contango and backwardation. This

new upstream strategy will enable the organisation to be a leading player in the upstream space within the region and will diversify its income stream.

### 12.3 Methodology

A clear and comprehensive methodology must be presented and explained detailing the approach taken by the service provider to design an SFF's suited strategy.

### 12.4 Scope of work

The critical tasks for developing the SFF strategy are outlined below, but not limited to:

#### **Market overview**

Provide an insightful overview of the current developments in the African Oil & Gas sector.

#### **Strategy Objectives Alignment**

Collaborate with SFF to define strategic goals aligning with South African Energy Directives and SFF's needs (scale, scope, and cost) in the short, mid, and long term.

#### **Initial Assessment and Focus (geographical, sector)**

Identify what should be the company's key Geographical Focus stating the most important drivers guiding these choices e.g. oil-rich, bilateral agreements, etc.: Nigeria, Angola, Kenya, Tanzania, Uganda, South Sudan, Equatorial Guinea, Mozambique, Ghana, Namibia, Gabon and potentially other African countries.

Sector Focus: Upstream oil and gas (natural gas, LNG, LPG, greener energy technologies)

#### **Key Oil and Gas Countries Market Intelligence**

Elaborate on the chosen countries by preparing country profiles covering macroeconomic analyses, historical economic growth, market structures and forecasts, stakeholder dynamics, foreign relations and basic infrastructure.

Prepare a thorough Country Risk Analysis that measures the risk of non-payment by companies and / or governments in each country and any other events (economic, social, and political conditions) that could affect or threaten any trading internationally.

Address regulatory aspects encompassing hydrocarbon regulations, import/export regulations, upstream (hydrocarbon) and downstream market regulations, fiscal terms, tax regime, and levies.

Supplement with synopsis of the country's history, population, education, geography, politics, religions, languages.

#### **Acquisition Opportunities Identification**

Assist SFF in identifying and evaluating potential countries offering suitable upstream acquisition opportunities in the short-mid-long term.

Determine historical and forecast production, existing and planned development fields, reserve estimates, demand drivers, constraints, evacuation routes, infrastructure.

#### **Acquisition Evaluation Framework**

Create robust and separate Exploration/Appraisal and Production evaluation procedures through which each potential acquisition can be filtered and assessed.

#### **Investment Criteria Definition**

Work together to establish key investment criteria that align with SFF's specific needs:

- International Trade Prerequisites
- Trade Remedies and Applied Tariff Rates
- Political Climate and Reputation
- Data Availability & Reliability
- Country Security
- Non-operatorship (SFF)
- Infrastructure (Existing and Planned)

#### **Transaction Benchmarking**

Offer a comprehensive overview of transaction dynamics and operational themes across the African oil and gas market. Provide detailed breakdowns for each specified country.

List significant recent transactions and provide insightful commentary on prevailing trends.

Benchmark typical acquisition criteria used by companies seeking upstream assets.

Conduct a comparative assessment of active markets in the target regions, focusing on pricing, quality, operational efficiency, and more.

### **12.5 Deliverables**

#### **SFF Corporate Strategy Report**

The Strategy Report must cover the tenderer analysis and findings from the scope of work, for short, mid and long term strategy suitable for SFF.

As part of the scope of work, the appointed Service Provider must complete the *Matrix Outcomes* template below with a short-list of assets that match SFF strategy by countries in the short, mid, and long term.

Matrix Outcomes: Short Term  
Production & Near (0-5 years)

Country	Assets	Reserves (millions of barrels)	Life of asset	Investment
Country #1	Asset #1			
	Asset #2			
	Asset #3			
Country #2	Asset # 1			
	Asset # 2			

Matrix Outcomes: Mid Term  
Near Production & Exploration mature (5-10 years)

Country	Assets	Volumetrics	Investment
Country #1	Asset #1		
	Asset #2		
	Asset #3		
Country #2	Asset # 1		
	Asset # 2		

Matrix Outcomes: Long Term  
Rank Cat Exploration + Alternative (>10 years)

Country	Assets	Volumetrics	Investment
Country #1	Asset #1		
	Asset #2		
	Asset #3		
Country #2	Asset # 1		
	Asset # 2		

## 13 STANDARD TERMS AND CONDITIONS

### 13.1 Time Schedule

This agreement shall commence and be effective on the date of signature (the Commencement Date) and shall continue unless terminated earlier in accordance with the terms of this agreement.

Strategy report and all other specified documents and presentations to be delivered in **no more than 8 weeks from signing of contract**.

### 13.2 Milestone / Payment schedule

Disbursements and transactions will be in South African rand (ZAR) in a phased manner as per successful completion of pre-agreed milestones and percentage of total cost of Project.

- *Milestone 1: 10% Upon signing of contract.*
- *Milestone 2: 15% Kick-off meeting.*
- *Milestone 3: 30% Upon receipt of initial draft document (SFF will scrutinize and suggest changes, if any to be made to finalize document).*
- *Milestone 4: 30% Upon delivery and approval of final strategy document and presentation.*
- *Milestone 5: 15% Supporting SFF team to present the proposed strategy to internal company EXCO & Board approval process.*

## **POLICY, LEGAL AND INSTITUTIONAL FRAMEWORK & STANDARD TERMS AND CONDITIONS**

### **13.3 Termination By SFF**

SFF may at any time terminate this agreement by giving the Tenderer 60 (Sixty) days written notice of its intention to terminate this agreement. In such a case, the Tenderer shall be entitled to be paid for all the work undertaken by it, and to be reimbursed for any expense the Tenderer reasonably incurred by it, up to the date of termination.

## **14 GENERAL TENDERER OBLIGATIONS**

The Tenderer shall be fully responsible to SFF for the acts and omissions of persons directly or indirectly employed by them.

The Tenderer must comply with the requirements stated in this RFP.

## **15 EXCHANGE AND REMITTANCE**

If SFF is requested by the Tenderer to effect payment overseas direct to the Tenderer's principal or service provider, which is not a registered South African Company please complete the details below, using the rate of exchange published by the South African Reserve Bank 7 [seven] calendar days before the closing date of this RFP:

ZAR 1.00 [South African currency] being equal to \_\_\_\_\_ [foreign currency] \_\_\_\_\_ % in relation to tendered price(s) to be remitted overseas by SFF.

Beneficiary details:

Name [Account holder] \_\_\_\_\_  
 Bank [Name and branch code] \_\_\_\_\_  
 Swift code \_\_\_\_\_  
 Country \_\_\_\_\_

[Applicable base date of Exchange Rate used]

Tenderers should note that SFF should receive fixed price offers expressed in South African Rand [ZAR].

## 16 SERVICE LEVELS

An experienced account representative(s) is required to work with SFF procurement department. [No sales representatives are needed for individual department or locations]. Additionally, there shall be a minimal number of people, fully informed and accountable for this agreement.

SFF will have reviews with the Service Provider's account representative on an on-going basis.

SFF reserves the right to request that any member of the Service Provider's team involved on the SFF account be replaced if deemed not to be adding value for SFF.

The Service Provider guarantees that it will achieve a 95% [ninety-five per cent] service level on the following measures:

- a) Random checks on compliance scope of requirements
- b) On-time deliverables:
  - The Service Provider must provide a telephone number for customer service calls.
  - Failure of the Service Provider to comply with stated service level requirements will give SFF the right to cancel the contract in whole, without penalty to SFF, giving 30 [thirty] days' notice to the Service Provider of its intention to do so.

Acceptance **of Service Levels:**

<b>YES</b>	
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<b>NO</b>	
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## 17 RISK

Tenderers must elaborate on the control measures put in place by their entity, which would mitigate the risk to SFF pertaining to potential non-performance by a Service Provider, in relation to:

Quality of Services delivered:

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Continuity of the provision of Services:

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## PART 3: EVALUATION AND METHODOLOGY

### 18 EVALUATION AND METHODOLOGY

SFF will utilise the following methodology and criteria in selecting a preferred Tenderer:

#### **STAGE 1 (TEST FOR RESPONSIVENESS) :**

Step 1 (Administrative responsiveness)

Step 2 (Substantive responsiveness; pre-qualifications (if applicable))

#### **STAGE 2 (FUNCTIONALITY / TECHNICAL EVALUATION)**

Step 3 (Minimum thresholds for functionality)

#### **STAGE 3 (WEIGHTED SCORING OF PRICE AND SPECIFIC GOALS)**

Step 4 (Price 80/90 or 20/10)

Step 5 (Post tender negotiation with preferred tenderer [2<sup>nd</sup> and 3<sup>rd</sup> ranked tenderers **(if required)** in a sequential and not simultaneous manner] if pricing is not market-related.

Step 6 (Selection of the preferred Tenderer. Objective criterion to justify award to someone other than the highest ranked Tenderer must have been stated in the bid documents and can be used at this stage, **if applicable**)

Step 7 (Award of business and conclusion of contract)

#### 18.1 STAGE 1: TEST FOR RESPONSIVENESS

##### **Step 1 - Test for Administrative Responsiveness**

The test for administrative responsiveness will include the following:

<b>Administrative responsiveness check</b>
• Whether the Tender has been received on time
• Whether all Returnable Documents were completed and returned by the closing date and time
• Verify the validity of all returnable documents

**The test for administrative responsiveness [Step One] must be passed for a Tenderer's Proposal to progress to Step Two for further pre-qualification**



## Step 2 - Test for Substantive Responsiveness

The test for substantive responsiveness to this RFP will include the following:

Check for substantive responsiveness
<ul style="list-style-type: none"> <li>Whether the Tender contains a fully completed price schedule as prescribed</li> </ul>
<ul style="list-style-type: none"> <li>CSD Registration No (unless foreign entity)</li> </ul>
<ul style="list-style-type: none"> <li>Whether any general pre-qualification criteria set by SFF, have been met: <b>SEE BID ELIMINATION CRITERIA BELOW</b></li> </ul>
<ul style="list-style-type: none"> <li>Whether the Tender materially complies with the scope of work given</li> </ul>

**The test for substantive responsiveness [Step Two] must be passed for a Tenderer's Proposal to progress to Stage 2 for further evaluation**

### 18.2 STAGE 2: Technical / Functionality Evaluation Criteria

BID ELIMINATION CRITERIA	
Provide evidence (in the form of a reference list for the work done) that the bidding company has proven experience in developing a corporate strategy for Oil Companies operating in the African Continent.	Yes / No
Confirmation in writing that the bidder has access to exploration and production databases of various companies operating in African Continent	Yes / No

**\* Failure to answer 'Yes' to any of the Bid Elimination criteria listed above will result in immediate disqualification of the tenderer without progressing to Step 3 of the evaluation process below.**

### Step 3 - Minimum Threshold is 70 % for the Technical/Functionality Criteria

BID EVALUATION-TECHNICAL CRITERIA-STRATEGY PROJECT		
WORK PLAN & SCHEDULE	0.15	PROOF OF CRITERIA ACCOMPLISHED
Work Plan and Methodology	0.15	Tenderer must provide a detailed execution plan, including scope definition, schedule, timelines as per SOW deliverables.

EXPERIENCE & REFERENCES	0.5	PROOF OF CRITERIA ACCOMPLISHED
Experience on <u>developing</u> corporate strategy projects for oil & gas companies (NOCs, etc.) in Africa region in the last 10 years.	0.2	This sub-criterion will be measured based on the number of projects carried out by the tenderer's project team in Africa region in the last 10 years. A Chronological list of on-going or completed strategy development projects. Tenderer must include (project title, company, country, year in which was executed).
Experience on <u>performing</u> corporate strategy projects for oil & gas companies (NOCs, etc.) in Africa region in the last 10 years.	0.3	This sub-criterion will be measured based on the number of contactable testimonials and reference letters from the client on the client's letterhead in Africa region in the last 10 years. The references must evidence value of project and the number of similar projects completed.

HUMAN RESOURCE	0.35	PROOF OF CRITERIA ACCOMPLISHED
Knowledge of and experience of Team Leader in oil and gas upstream value chain and managing <u>corporate strategy projects</u> .	0.15	The submission document must include a section with CVs indicating experience of project leader and managing strategy projects in the African region. The criterion will be based on team leader's years of experience.
Knowledge of and experience of core team (excluding Team Leader) in oil and gas upstream value chain and developing and conducting <u>corporate strategy projects</u> .	0.20	The submission document must include a section with CVs indicating experience of core team / specialists developing and conducting strategy projects in the African region. The criterion will be based on the average of core team's years of experience.

1.00

BID EVALUATION-TECHNICAL CRITERIA SCORING SHEET-STRATEGY PROJECT:			
WORK PLAN & SCHEDULE	0.15	SUB-CRITERIA AND WEIGHTS	
Work Plan and Methodology	0.15	YES (1)	NO (0)

EXPERIENCES & REFERENCES	0.5	SUB-CRITERIA AND WEIGHTS			
Experience on <u>executing</u> corporate strategy for oil & gas companies (NOCs, etc.) in Africa region in the last 10 years.	0.2	>10 (1)	btw 10-7 (0.7)	Btw 6 - 3 (0.4)	<3 (0)
Experience on <u>performing</u> corporate strategy for oil & gas companies (NOCs, etc.) in Africa region in the last 10 years.	0.3	>7 (1)	btw 7-4 (0.7)	Btw 3 - 1 (0.4)	0 (None) (0)

HUMAN RESOURCE	0.35	SUB-CRITERIA AND WEIGHTS			
Knowledge of and experience of Team Leader in oil and gas upstream value chain and developing / managing <u>corporate strategy projects</u> .	0.15	>12 (1)	Btw 12-7 (0.7)	Btw 6 - 3 (0.4)	<3 (0)
Knowledge of and experience of core team (excluding Team Leader) in oil and gas upstream value chain and developing / conducting <u>corporate strategy projects</u> .	0.20	>12 (1)	Btw 12-7 (0.7)	Btw 6 - 3 (0.4)	<3 (0)

1

*Tenderers are to note that SFF will round off final technical scores to the nearest 2 (two) decimal places for the purposes of determining whether the technical threshold has been met.*

**The minimum threshold for technical/functionality (Step Three) must be met or exceeded for a Tenderer's Proposal to progress to Stage 3 (Step Four) for final evaluation.**

### 18.3 STAGE 3: Final Weighted Scoring of Price and Specific Goals

(a) **Step Four - Price Criteria** [Weighted score **80/90 points**]

Evaluation Criteria
<ul style="list-style-type: none"> <li>Commercial offer</li> <li>Commercial discounts<sup>1</sup></li> </ul>

SFF association will utilise the following formula in its evaluation of Price:

$$PS = 80/90 \left( 1 - \frac{Pt - P_{min}}{P_{min}} \right)$$

Where:

Ps	=	Score for the Tender under consideration
Pt	=	Price of Tender under consideration
Pmin	=	Price of lowest acceptable tender

- b) Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:
- Price; and
  - Specific Goals (weighted score 10/20)

#### SPECIFIC GOALS:

SFF has identified in its Supply Chain Management Policy, specific goals, which will be used to promote transformation and empowerment.

A maximum of 10/20 points will be awarded to a tenderer for specific goals specified in the RFP as follows:

<sup>1</sup> Only unconditional discounts will be taken into account during evaluation. A discount which has been offered conditionally will, despite not being taken into account for evaluation purposes, be implemented when payment is effected

No	SPECIFIC GOAL	MAX SCORE	POINT ALLOCATION	EVIDENCE
1	At least 51% Black Owned	6 (if 90/10 system used) 10 (if 80/20 system used)	6 points = Yes 10 points = Yes 0 points = No	Valid BBBEE certificate to verify ownership
2	EME or QSE <b>SMALL, MEDIUM AND MICRO ENTERPRISES (SMME'S)</b>	2 (if 90/10 system used) 5 (if 80/20 system used)	2 points = Yes 5 points = Yes 0 points = No	Sworn affidavit that is valid for a period of 12 months from the date signed by the commissioner
3	At least 30% Black Women Ownership	2 (if 90/10 system used) 5 (if 80/20 system used)	2 points = Yes 5 points = Yes 0 points = No	Valid BBBEE certificate to verify ownership

**Tenders must submit their B-BBEE certificate issued by an authorized body or person or a B-BBEE sworn affidavit to claim specific goal(s) points.**

IN RESPECT OF EME OR QSE:

Proof of documentation must be attached in the form of:

sworn affidavit that is valid for a period of 12 months from the date signed by the commissioner

SFF can only award points provided sufficient information and required documents are correctly completed and returned with the proposals.

**POINTS OBTAINED FOR PRICE SHOULD BE ADDED TO POINTS OBTAINED FOR SPECIFIC GOALS.**

TENDER MUST BE AWARDED TO THE TENDERER SCORING THE HIGHEST POINTS. HOWEVER, A CONTRACT MAY BE AWARDED TO A TENDERER THAT DID NOT SCORE THE HIGHEST POINTS ONLY IN ACCORDANCE WITH SECTION 2 (1)(F) OF THE PPPFA 05 OF 2000.

c) Cost / Price

The Tenderer will be requested to provide a quotation for the work to be taken. The total fees VAT inclusive must be quoted in South African Rands (ZAR). The quoted fee will remain fixed for one year and must propose the cost price adjustment and the relevant table.

Note: SFF reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by SFF.

## SUMMARY: Applicable Thresholds and Final Evaluated Weightings

Technical Evaluation Criteria	% Weightings
Minimum Technical/Functionality Threshold	70%

Evaluation Criteria	Final Weighted Scores	
	80/20 System	90/10 System
Price	80	90
Specific Goals	20	10
Total	100	

### Step Five - Post Tender Negotiations (if applicable)

- Tenderers are to note that SFF may not award a contract if the price offered is not market-related. In this regard, SFF reserves the right to engage in Post Tender Negotiations with the view to achieving a market-related price or to cancel the tender. Negotiations will be done in a sequential manner i.e.:
  - first negotiate with the highest ranked tenderer or cancel the bid, should such negotiations fail,
  - negotiate with the 2nd and 3rd ranked tenderers (if required) in a sequential manner.
- In the event of any Tenderer being notified of such short-listed/preferred tenderer status, his/her bid, as well as any subsequent negotiated best and final offers (BAFO), will automatically be deemed to remain valid during the negotiation period and until the ultimate award of business.
- Should SFF conduct post tender negotiations, Tenderers will be requested to provide their best and final offers to SFF based on such negotiations. Where a market related price has been achieved through negotiation, the contract will be awarded to the successful Tenderer(s).

## Price Schedule

### PLEASE NOTE:

Tenderer are required to **submit with this RFP, a detailed PRICE SCHEDULE** that provides a breakdown and a total final price.

### Schedule and Time Lines

I confirm that I agree to the schedule and time lines as stated above and detailed in the Scope of Work:

(To be completed by Tenderer)

YES		NO	

### VALIDITY PERIOD:

SFF desires a validity period up to **120 days** against this Tendered Price.

This Tender is valid until ..... (To be completed by Tenderer).

## PART 4: BIDDING

<b>TENDER SERIAL NO.:</b>
<b>SIGNATURES OF SFF OFFICIALS AT TENDER OPENING</b>
1.
2.
3.

## FORM OF OFFER

The employer, identified in the acceptance signature block, has solicited offers to enter into a contract for the procurement of:

### **SFF/2023/087 – Appointment of a Service Provider, to Develop, and Structure the SFF Oil and Gas Upstream Africa Strategy**

The tenderer, identified in the offer signature block, has examined the documents listed in the tender data and addenda thereto as listed in the returnable schedules, and by submitting this offer has accepted the conditions of tender.

By the representative of the tenderer, deemed to be duly authorized, signing this part of this form of offer, the tenderer offers to perform all of the obligations and liabilities of the contractor under the contract including compliance with all its terms and conditions according to their true intent and meaning for an amount to be determined in accordance with the conditions of contract identified in the contract data.

### **THE OFFERED TOTAL OF THE PRICES INCLUSIVE OF VALUE ADDED TAX IS:**

Amount Excluding VAT	VAT		Amount Including VAT
	Rate	Amount	
	15%		

Rand (in words and cents);

This offer may be accepted by the employer by signing the acceptance part of this form of offer and acceptance and returning one copy of this document to the tenderer before the end of the period of validity stated in the tender data, whereupon the tenderer becomes the party named as the contractor in the conditions of contract identified in the contract data.

Signature \_\_\_\_\_ Date \_\_\_\_\_

Print Name \_\_\_\_\_  
Capacity \_\_\_\_\_  
(for the tenderer) \_\_\_\_\_

\_\_\_\_\_  
(Name and address of the Tendering Entity)



## PART 5: PROPOSAL FORM

I/We \_\_\_\_\_  
[name of entity, company, close corporation or partnership]

Of \_\_\_\_\_  
[full address]

carrying on business trading/operating as \_\_\_\_\_

represented by \_\_\_\_\_

in my capacity as \_\_\_\_\_

being duly authorized thereto by a Resolution of the Board of Directors or Members or Certificate of Partners, as per Part 5 of this RFP (a certified copy of which is annexed hereto) hereby offer to provide the abovementioned Services at the prices quoted in the schedule of prices in accordance with the terms set forth in the documents listed in the accompanying schedule of RFP documents.

I/We agree to be bound by those conditions in SFF Association's:

- (i) Terms and Conditions of Contract – Services; to be provided on contract conclusion
- (ii) General Tender Conditions – Services; and
- (iii) any other standard or special conditions mentioned and/or embodied in this RFP.

I/We accept that unless SFF association should otherwise decide and so inform me/us in the letter of appointment, this Proposal [and, if any, its covering letter and any subsequent exchange of correspondence], together with SFF association's acceptance thereof shall constitute a binding contract between SFF association and me/us.

Should SFF association decide that a formal contract should be signed and so inform me/us in a letter of appointment [the Letter of Appointment], this Proposal [and, if any, its covering letter and any subsequent exchange of correspondence] together with SFF Letter of appointment, shall constitute a binding contract between SFF and me/us until the formal contract is signed.

I/We further agree that if, after I/we have been notified of the acceptance of my/our Proposal, I/we fail to enter into a formal contract if called upon to do so, or fail to commence the provision of Services within 4 [four] weeks thereafter, SFF may, without prejudice to any other legal remedy which

it may have, recover from me/us any expense to which it may have been put in calling for Proposals afresh and/or having to accept any less favourable Proposal.

I/We accept that any contract resulting from this offer will be as per the agreed project plan. Furthermore, I/we agree to a penalty clause/s to be negotiated with SFF, which will allow SFF to invoke a penalty against us for non-compliance with material terms of this RFP including the delayed provision of the Services due to non-performance by ourselves. In addition, I/we agree that noncompliance with any of the material terms of this RFP, including those mentioned above, will constitute a material breach of contract and provide SFF with cause for cancellation.

I/We acknowledge that the SFF may be required to process my/our personal information (as such capitalised terms are defined in the Protection of Personal Information Act, No. 4 of 2013) in order to evaluate this RFP and facilitate my/our appointment as a service provider and that such processing shall be done in accordance with the SFF's Privacy Policy, which is available on its website or on request.

#### **ADDRESS FOR NOTICES**

The law of the Republic of South Africa shall govern any contract created by the acceptance of this RFP. The *domicilium citandi et executandi* shall be a place in the Republic of South Africa to be specified by the Tenderer hereunder, at which all legal documents may be served on the Tenderer who shall agree to submit to the jurisdiction of the courts of the Republic of South Africa. Foreign Tenderers shall, therefore, state hereunder the name of their authorized representative in the Republic of South Africa who has the power of attorney to sign any contract which may have to be entered into in the event of their Proposal being accepted and to act on their behalf in all matters relating to such contract.

Tenderer to indicate the details of its *domicilium citandi et executandi* hereunder:

Name of Entity: \_\_\_\_\_

Facsimile: \_\_\_\_\_

Address: \_\_\_\_\_

#### **NOTIFICATION OF AWARD OF RFP**

As soon as possible after approval to award the contract(s), the successful Tenderer [**the Service Provider**] will be informed of the acceptance of its Proposal. Unsuccessful Tenderers will be advised in writing of the name of the successful Service Provider and the reason as to why their Proposals have been unsuccessful, for example, in the category of price, delivery period, quality, B-BBEE status or for any other reason.

## VALIDITY PERIOD

SFF requires a validity period of **120 [one hundred and twenty]** calendar days from the closing date of this RFP. This can be extended upon mutual agreement.

## NAME(S) AND ADDRESS / ADDRESSES OF DIRECTOR(S) OR MEMBER(S)

The Tenderer must disclose hereunder the full name(s) and address(s) of the director(s) or members of the company or close corporation [C.C.] on whose behalf the RFP is submitted.

(i) Registration number of company / C.C.

(ii) Registered name of company / C.C.

(iii) Full name(s) of director/member(s)	Address/Addresses	ID Number(s)

## CONFIDENTIALITY

All information related to this RFP is to be treated with strict confidence. In this regard Tenderers are required to complete and return a signed copy of Certificate of Acquaintance with the Non-Disclosure Agreement [Appendix (ii)]. All information related to a subsequent contract, both during and after completion thereof, will be treated with strict confidence. Should the need however arise to divulge any information gleaned from provision of the Services, which is either directly or indirectly related to SFF's business, written approval to divulge such information must be obtained from SFF association and such information must be disclosed in accordance with the provisions of the Protection of Personal Information Act, No 4 of 2013.

## RETURNABLE DOCUMENTS

Returnable Documents means all the documents, Parts and Annexures, as listed in the tables below. There are three types of returnable documents as indicated below and Respondents are urged to ensure that these documents are returned with their bids based on the consequences of non-submission as indicated below:

Mandatory Returnable Documents	<b><i>Failure to provide all these Mandatory Returnable Documents at the Closing Date and time of this RFP <u>will</u> result in a Tenderer's disqualification.</i></b>
Returnable Documents Used for Scoring	<b><i>Failure to provide all Returnable Documents used for purposes of scoring a bid, by the closing date and time of this bid <u>will not</u> result in a Tenderer's disqualification. However, Bidders will receive an automatic score of zero for the applicable evaluation criterion.</i></b>
Essential Returnable Documents	<b><i>Failure to provide essential Returnable Documents <u>will</u> result in SFF affording Tenderers a further opportunity to submit by a set deadline. Should a Tenderer thereafter fail to submit the requested documents, this may result in a Tenderer's disqualification.</i></b>

### (a) Mandatory Returnable Documents

**Tenderers are urged to ensure that all these Documents are returned with their Proposal**

Please confirm submission of the mandatory Returnable Documents detailed below by so indicating [Yes or No] in the table below:

MANDATORY RETURNABLE DOCUMENTS	SUBMITTED [Yes/No]
PART 4: Bidding - Form of offer & fully completed Pricing Schedule	
Proof of CSD Registration No.	
Tenderer materially complies with the scope of work	
Evidence that the following general pre-qualification criteria set by SFF, have been met:	
Provide evidence (in the form of a reference list for the work done) that the bidding company has proven experience in developing a corporate strategy for Oil Companies operating in the African Continent.	
Confirmation in writing that the bidder has access to exploration and production databases of various companies operating in African Continent	

**(b) Returnable Documents Used for Scoring**

In addition to the requirements of Part (a) above, Tenderers are further required to submit with their Proposals the following **Returnable Documents Used for Scoring** as detailed below.

Please confirm submission of these essential Returnable Documents by so indicating [Yes or No] in the table below:

RETURNABLE DOCUMENTS USED FOR SCORING	SUBMITTED [Yes/No]
Refer to Stage 2 Technical/Functional Evaluation which details the returnable documents to be used for the technical evaluation that will not result in disqualification but a score of zero for that aspect of the technical evaluation.	
Tenders must submit a copy of their B-BBEE certificate issued by an authorized body or person or a B-BBEE sworn affidavit to claim specific goal(s) points.	

**(c) Essential Returnable Documents**

In addition to the requirements of Part (a) and (b) above, Tenderers are further required to submit with their Proposals the following **essential Returnable Documents** as detailed below.

Please confirm submission of these essential Returnable Documents by so indicating [Yes or No] in the table below:

ESSENTIAL RETURNABLE DOCUMENTS & SCHEDULES	SUBMITTED [Yes or No]
PART 2: Background, Overview and Scope of Requirements	
PART 5: Proposal Form	
PART 6: Signing Power - Resolution of Board of Directors	
PART 7: Certificate of Acquaintance with RFP Documents	
PART 8: Certificate of Acquaintance with General Tender Conditions Services	
PART 9: RFP Declaration Form	
PART 10: Breach of Law Form	
PART 11: Certificate of Acquaintance with non-disclosure agreement	
List of Directors	
Annexure A: Preference Points Claim Form & Letter(s) from intended sub-contractor(s)	
Annexure B: Declaration of Interest	
Annexure C: Declaration of bidder's past SCM practices	
Annexure D: Certificate of independent bid determination	

ESSENTIAL RETURNABLE DOCUMENTS & SCHEDULES	SUBMITTED [Yes or No]
Annexure E: SBD1 Form	
Project Organogram	
APPENDIX (I): SECURITY SCREENING FORM	
APPENDIX (II): GENERAL TENDER CONDITIONS	
APPENDIX (III): SAFETY ARRANGEMENTS AND PROCEDURAL COMPLIANCE WITH THE OCCUPATIONAL HEALTH AND SAFETY ACT (ACT 85 OF 1993) AND APPLICABLE REGULATIONS	

### CONTINUED VALIDITY OF RETURNABLE DOCUMENTS

The successful Tenderer will be required to ensure the validity of all returnable documents, including but not limited to its Tax Clearance Certificate and valid B-BBEE Verification Certificate, for the duration of any contract emanating from this RFP. Should the Tenderer be awarded the contract **[the Agreement]** and fail to present SFF with such renewals as and when they become due, SFF shall be entitled, in addition to any other rights and remedies that it may have in terms of the eventual Agreement, to terminate such Agreement forthwith without any liability and without prejudice to any claims which SFF may have for damages against the Tenderer.

By signing these RFP documents, the Tenderer is deemed to acknowledge that he/she has made himself/herself thoroughly familiar with all the conditions governing this RFP, including those contained in any printed form stated to form part hereof, and SFF will recognize no claim for relief based on an allegation that the Tenderer overlooked any such condition or failed properly to take it into account for the purpose of calculating tendered prices or otherwise.

SIGNED AT \_\_\_\_\_ ON THIS \_\_\_\_\_ DAY OF \_\_\_\_\_ 20 \_\_\_\_\_

SIGNATURE OF TENDERERS AUTHORISED REPRESENTATIVE:

\_\_\_\_\_  
NAME \_\_\_\_\_

WITNESS 1 \_\_\_\_\_ ADDRESS \_\_\_\_\_  
NAME \_\_\_\_\_

WITNESS 2 \_\_\_\_\_ ADDRESS \_\_\_\_\_  
NAME \_\_\_\_\_

## PART 6: SIGNING POWER

### SIGNING POWER – RESOLUTION OF BOARD OF DIRECTORS

NAME OF  
ENTITY

\_\_\_\_\_

It was resolved at a meeting of the Board of Directors held on \_\_\_\_\_ that

FULL NAME(S)

CAPACITY

SIGNATURE

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

In his/her capacity as indicated above is/are hereby authorised to enter into, sign, execute and complete any documents relating to this Proposal and any subsequent Agreement for the provision of Services. A list of those person(s) authorised to negotiate on behalf of the abovementioned entity [if not the authorised signatories] is also submitted along with this Proposal together with their contact details.

\_\_\_\_\_

FULL NAME

\_\_\_\_\_

SIGNATURE CHAIRPERSON

## PART 7: CERTIFICATE OF ACQUAINTANCE WITH RFP DOCUMENTS

NAME OF ENTITY: \_\_\_\_\_

1. I/We \_\_\_\_\_ do hereby certify that I/we acquainted myself/ourselves with all the documentation comprising this RFP and all conditions contained therein, as laid down by SFF association for the carrying out of the proposed supply/service/works for which I/we submitted my/our Proposal.
2. I/we furthermore agree that SFF shall recognise no claim from me/us for relief based on an allegation that I/we overlooked any RFP/contract condition or failed to take it into account for the purpose of calculating my/our offered prices or otherwise.
3. I/We accept that an obligation rests on me/us to clarify any uncertainties regarding this Tender which I/we may have, before submitting the Tender. I/We agree that I/we will have no claim based on an allegation that any aspect of this RFP was unclear but in respect of which I/we failed to obtain clarity.
4. I/we understand that the accompanying Tender will be disqualified if this Certificate is found not to be true and complete in every respect.
5. For the purposes of this Certificate and the accompanying Tender, I/we understand that the word "competitor" shall include any individual or organisation, other than the Tenderer, whether or not affiliated with the Tenderer, who:
  - a) Has been requested to submit a Tender in response to this Tender invitation;
  - b) Could potentially submit a Tender in response to this Tender invitation, based on their qualifications, abilities or experience; and
  - c) Provides the same Services as the Tenderer and/or is in the same line of business as the Tenderer
6. The Tenderer has arrived at the accompanying Tender independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium will not be construed as collusive Tendering.
7. In particular, without limiting the generality of paragraph 5 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
  - a) prices;
  - b) geographical area where Services will be rendered [market allocation]
  - c) methods, factors or formulas used to calculate prices;
  - d) the intention or decision to submit or not to submit, a Tender;
  - e) the submission of a Tender which does not meet the specifications and conditions of the RFP; or
  - f) Tendering with the intention of not winning the Tender.
8. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the Services to which this RFP relates.



9. The terms of the accompanying Tender have not been, and will not be, disclosed by the Tenderer, directly or indirectly, to any competitor, prior to the date and time of the official Tender opening or of the awarding of the contract.
10. I/We am/are aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to tenders and contracts, Tenders that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of Part 59 of the Competition Act No 89 of 1998 and/or may be reported to the National Prosecuting Authority [NPA] for criminal investigation and/or may be restricted from conducting business with the public sector for a period not exceeding 10 [ten] years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

SIGNED AT \_\_\_\_\_ ON THIS \_\_\_\_\_ DAY OF \_\_\_\_\_ 20\_\_\_\_\_

\_\_\_\_\_

SIGNATURE OF WITNESS

\_\_\_\_\_

SIGNATURE OF TENDERER

## PART 8: CERTIFICATE OF ACQUAINTANCE WITH THE GENERAL TENDER CONDITIONS – SERVICES

NAME OF ENTITY: \_\_\_\_\_

I/We \_\_\_\_\_ do hereby certify that I/we acquainted myself/ourselves with all the documentation comprising the General Tender Conditions - Services as received on \_\_\_\_\_ [insert date] from SFF association for the carrying out of the proposed Services for which I/we submitted my/our Proposal.

I/We furthermore agree that SFF association shall recognise no claim from me/us for relief based on an allegation that I/we overlooked any terms and conditions of the General Tender Conditions or failed to take it into account for the purpose of calculating my/our offered prices or otherwise.

I/We confirm having been advised that a signed copy of this Schedule can be submitted in lieu of the entire General Tender Conditions as confirmation in terms of the Returnable Schedule.

SIGNED AT \_\_\_\_\_ ON THIS \_\_\_\_\_ DAY OF \_\_\_\_\_ 20\_\_\_\_\_

\_\_\_\_\_  
SIGNATURE OF WITNESS

\_\_\_\_\_  
SIGNATURE OF TENDERER

## PART 9: DECLARATION FORM

NAME OF ENTITY: \_\_\_\_\_

We \_\_\_\_\_ do hereby certify that:

1. SFF has supplied and we have received appropriate Submissions to any/all questions [as applicable] which were submitted by ourselves for RFP Clarification purposes;
2. we have received all information we deemed necessary for the completion of this Request for Proposal [RFP];
3. at no stage have we received additional information relating to the subject matter of this RFP from SFF association sources, other than information formally received from the designated SFF association contact(s) as nominated in the RFP documents;
4. we are satisfied, insofar as our entity is concerned, that the processes and procedures adopted by SFF association in issuing this RFP and the requirements requested from Tenderers in responding to this RFP have been conducted in a fair and transparent manner; and
5. Furthermore, we declare that a family, business and/or social relationship exists / Does not exist [delete as applicable] between an owner / member / director / partner / Shareholder of our entity and an employee or board member of the SFF association Group including any person who may be involved in the evaluation and/or adjudication of this Tender.
6. In addition, we declare that an owner / member / director / partner / shareholder of our entity is / is not [delete as applicable] an employee or board member of the SFF association Group.
7. If such a relationship as indicated in paragraph 5 and/or 6 exists, the Tenderer is to complete the following Part:

FULL NAME OF OWNER/MEMBER/DIRECTOR/ PARTNER/SHAREHOLDER: ADDRESS:

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Indicate nature of relationship with SFF association:

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*[Failure to furnish complete and accurate information in this regard will lead to the disqualification of a response and may preclude a Tenderer from doing future business with SFF]*

8. We declare, to the extent that we are aware or become aware of any relationship between ourselves and SFF [other than any existing and appropriate business relationship with SFF association] which could unfairly advantage our entity in the forthcoming adjudication process, we shall notify SFF association immediately in writing of such circumstances.
9. We accept that any dispute pertaining to this Tender will be resolved through the Ombudsman process and will be subject to the Terms of Reference of the Ombudsman. The Ombudsman process must first be exhausted before judicial review of a decision is sought.
10. We further accept that SFF reserves the right to reverse an award of business or decision based on the recommendations of the Ombudsman without having to follow a formal court process to have such award or decision set aside.
11. We declare that our particulars as provided to be true and correct, and undertake to keep SFF association informed of any changes thereto and acknowledge that the company may be required to Process our Personal Information (as such capitalised terms are defined in the Protection of Personal Information Act, No. 4 of 2013) in order to evaluate this RFP and facilitate our appointment as a service provider and that such Processing shall be done in accordance with SFF association's Privacy Policy, which is available on request.

SIGNED AT \_\_\_\_\_ ON THIS \_\_\_\_\_ DAY OF \_\_\_\_\_ 20 \_\_\_\_\_

For and on behalf of  _____ duly authorised hereto	AS WITNESS:
Name:	Name:
Position:	Position:
Signature:	Signature:
Date:	
Place:	

## PART 10 : BREACH OF LAW FORM

NAME OF ENTITY: \_\_\_\_\_

I/We \_\_\_\_\_

do hereby certify that I/we have/have not been [delete as applicable] found guilty during the preceding 5 [five] years of a serious breach of law, including but not limited to a breach of the Competition Act, 89 of 1998, by a court of law, tribunal or other administrative body. The type of breach that the Tenderer is required to disclose excludes relatively minor offences or misdemeanours, e.g. traffic offences.

Where found guilty of such a serious breach, please disclose:

NATURE OF BREACH:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

DATE OF BREACH:

\_\_\_\_\_

Furthermore, I/we acknowledge that SFF association SOC Ltd reserves the right to exclude any Tenderer from the Tendering process, should that person or entity have been found guilty of a serious breach of law, tribunal or regulatory obligation.

SIGNED AT \_\_\_\_\_ ON THIS \_\_\_\_\_ DAY OF \_\_\_\_\_ 20 \_\_\_\_\_

\_\_\_\_\_

SIGNATURE OF WITNESS

\_\_\_\_\_

SIGNATURE OF TENDERER

**PART 11: CERTIFICATE OF ACQUAINTANCE WITH NON-DISCLOSURE AGREEMENT**

I/We \_\_\_\_\_ do hereby certify that I/we acquainted myself/ourselves with all the documentation comprising the Non-Disclosure Agreement [Appendix (ii)] for the carrying out of the proposed supply for which I/we submitted my/our Proposal.

I/We furthermore agree that SFF association shall recognise no claim from me/us for relief based on an allegation that I/we overlooked any provisions of the Non-Disclosure Agreement or failed to take it into account for the purpose of submitting my/our Tender.

I/We confirm having been advised that a signed copy of this Schedule can be submitted in lieu of the Non-Disclosure Agreement as confirmation in terms of the Returnable Schedule.

SIGNED AT \_\_\_\_\_ ON THIS \_\_\_\_\_ DAY OF \_\_\_\_\_ 20 \_\_\_\_\_

\_\_\_\_\_  
SIGNATURE OF WITNESS

\_\_\_\_\_  
SIGNATURE OF TENDERER

## ANNEXURES

### ANNEXURE A: PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

#### SBD 6.1

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

**NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022**

#### 1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to invitations to tender:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

#### 1.2 To be completed by the organ of state

*(delete whichever is not applicable for this tender).*

- a) The applicable preference point system for this tender is the 90/10 preference point system.
- b) The applicable preference point system for this tender is the 80/20 preference point system.
- c) Either the 90/10 or 80/20 preference point system will be applicable in this tender. The lowest/highest acceptable tender will be used to determine the accurate system once tenders are received.

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

- d) Price; and
- e) Specific Goals.

#### 1.4 To be completed by the organ of state:

The maximum points for this tender are allocated as follows:

	POINTS
PRICE	80/90
SPECIFIC GOALS	20/10
<b>Total points for Price and SPECIFIC GOALS</b>	<b>100</b>

- 1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.
- 1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

## 2. DEFINITIONS

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;
- (b) **“price”** means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;
- (c) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“the Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

## 3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

### 3.1. POINTS AWARDED FOR PRICE

#### 3.1.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

<b>80/20</b>	<b>or</b>	<b>90/10</b>
$P_s = 80 \left( 1 - \frac{P_t - P_{min}}{P_{min}} \right) \text{ or } P_s = 90 \left( 1 - \frac{P_t - P_{min}}{P_{min}} \right)$		

Where

- $P_s$  = Points scored for price of tender under consideration
- $P_t$  = Price of tender under consideration
- $P_{min}$  = Price of lowest acceptable tender





**Table 1: Specific goals for the tender and points claimed are indicated per the table below.**

*(Note to organs of state: Where either the 90/10 or 80/20 preference point system is applicable, corresponding points must also be indicated as such.*

*Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)*

The specific goals allocated points in terms of this tender	Number of points allocated (90/10 system) (To be completed by the organ of state)	Number of points allocated (80/20 system) (To be completed by the organ of state)	Number of points claimed (90/10 system) (To be completed by the tenderer)	Number of points claimed (80/20 system) (To be completed by the tenderer)
At least 51% Black Owned	6 (if 90/10 system used)	10 (if 80/20 system used)		
EME or QSE <b>SMALL, MEDIUM AND MICRO ENTERPRISES (SMME'S)</b>	2 (if 90/10 system used)	5 (if 80/20 system used)		
At least 30% Black Women Ownership	2 (if 90/10 system used)	5 (if 80/20 system used)		

## DECLARATION WITH REGARD TO COMPANY/FIRM

4.3. Name of company/firm.....

4.4. Company registration number: .....

4.5. TYPE OF COMPANY/ FIRM

- ☐ Partnership/Joint Venture / Consortium
- ☐ One-person business/sole propriety
- ☐ Close corporation
- ☐ Public Company
- ☐ Personal Liability Company
- ☐ (Pty) Limited
- ☐ Non-Profit Company
- ☐ State Owned Company

[TICK APPLICABLE BOX]

4.6. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;
- iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –
  - (a) disqualify the person from the tendering process;
  - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
  - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
  - (d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
  - (e) forward the matter for criminal prosecution, if deemed necessary.

.....  
**SIGNATURE(S) OF TENDERER(S)**

**SURNAME AND NAME:** .....

**DATE:** .....

**ADDRESS:** .....  
.....  
.....  
.....

## ANNEXURE B: SBD 4 DECLARATION OF INTEREST

No bid will be accepted from persons in the service of the state\*.

1. Any person, having a kinship with persons in the service of the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid. In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons connected with or related to persons in service of the state, it is required that the bidder or their authorised representative declare their position in relation to the evaluating/adjudicating authority and/or take an oath declaring his/her interest.
2. In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.

3.1 Full Name: .....

3.2 Identity Number: .....

3.3 Company Registration Number: .....

3.4 Tax Reference Number: .....

3.5 VAT Registration Number: .....

3.6 Are you presently in the service of the state\* **YES / NO**

3.6.1 If so, furnish particulars.

.....

.....

3.7 Have you been in the service of the state for the past **YES / NO**  
twelve months?

3.7.1 If so, furnish particulars.

.....

\* "State" means –

- (a) any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
- (b) any municipality or municipal entity;
- (c) provincial legislature;
- (d) national Assembly or the national Council of provinces; or
- (e) Parliament.

3.8 Do you, have any relationship (family, friend, other) with **YES/NO**  
persons in the service of the state and who may be involved  
with the evaluation and or adjudication of this bid?

3.8.1 If so, furnish particulars.

.....  
.....

3.9 Are you, aware of any relationship (family, friend, other) **YES/NO**  
between a bidder and any persons in the service of the  
state who may be involved with the evaluation and or  
adjudication of this bid?

3.9.1 If so, furnish particulars

.....  
.....

3.10 Are any of the company's directors, managers, principal **YES / NO**  
shareholders or stakeholders in service of the state?

3.10.1 If so, furnish particulars.

.....  
.....

3.11 Are any spouse, child or parent of the company's directors, **YES / NO**  
managers, principal shareholders or stakeholders in service  
of the state?

3.11.1 If so, furnish particulars.

.....  
.....

## CERTIFICATION

**I, THE UNDERSIGNED (NAME).....**

Declare that my particulars as provided herein are both true and correct, and undertake to keep SFF association informed of any changes hereto. I acknowledge that SFF is required to process my personal information (as such capitalised terms are defined in the Protection of Personal Information Act, No. 4 of 2013), in order to facilitate my appointment and service as a supplier, and that such processing shall be done in accordance with SFF's privacy policy, which is available on its website and on request.

I accept that the state may act against me should this declaration prove to be false.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of Tenderer

## ANNEXURE C: SBD 9 CERTIFICATE OF INDEPENDENT BID DETERMINATION

I, the undersigned, in submitting the accompanying bid:

**BID NUMBER:** .....

in response to the invitation for the bid made by the SFF do hereby make the following statements that I certify to be true and complete in every respect :

I certify, on behalf of: \_\_\_\_\_ that:  
(Name of Bidder/Tenderer)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word "competitor" shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:
  - (a) has been requested to submit a bid in response to this bid invitation;
  - (b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and
  - (c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder
6. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However communication between partners in a joint venture or consortium<sup>3</sup> will not be construed as collusive bidding.
7. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
  - (a) prices;
  - (b) geographical area where product or service will be rendered (market allocation)
  - (c) methods, factors or formulas used to calculate prices;
  - (d) the intention or decision to submit or not to submit, a bid;
  - (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
  - (f) bidding with the intention not to win the bid.
8. In addition, there have been no consultations, communications, agreements or
9. arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.
10. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly



or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

11. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

SIGNED ON BEHALF OF TENDERER: .....

## ANNEXURE D: SBD 8 DECLARATION OF BIDDER'S PAST SUPPLY CHAIN MANAGEMENT PRACTICES

(Note that in this document, the words bid and tender, bidder and tenderer, bidder's and tenderer's should be used interchangeably)

1. The bid of any bidder may be disregarded if the bidder, or any of its directors have-
  - a. abused the Department's supply chain management system;
  - b. committed fraud or any other improper conduct in relation to such system, or
  - c. failed to perform on any previous contract.
2. In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.

Item	Question	Yes	No
2.1	Is the bidder or any of its directors listed on the National Treasury's database as companies or persons prohibited from doing business with the public sector? <b>(Companies or persons who are listed on this database were informed in writing of this restriction by the National Treasury after the <i>audi alteram partem</i> rule was applied).</b>		
2.1.1	If so, furnish particulars		
2.2	Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No12 of 2004)? <b>To assess this Register enter the National Treasury's website, <a href="http://www.treasury.gov.za">www.treasury.gov.za</a>, click on the icon "Register for Tender Defaulters" or submit your written request for a hard copy of the Register to facsimile number (012) 3265445</b>		
2.2.1	If so, furnish particulars		
2.3	Was the bidder or any of its directors convicted by a court of law (including a court outside of the Republic of South Africa) for fraud or corruption during the past five years?		
2.3.1	If so, furnish particulars:		
2.4	Was any contract between the bidder and any organ of state terminated during the past five years on account of failure to perform on or comply with the contract?		

## CERTIFICATION

I, THE UNDERSIGNED (FULL NAME) -----CERTIFY THAT MY PARTICULARS AS PROVIDED HEREIN ARE BOTH TRUE AND CORRECT, AND UNDERTAKE TO KEEP SFF INFORMED OF ANY CHANGES HERETO. I ACKNOWLEDGE THAT SFF ASSOCIATION IS REQUIRED TO PROCESS MY PERSONAL INFORMATION (AS SUCH CAPITALISED TERMS ARE DEFINED IN THE PROTECTION OF PERSONAL INFORMATION ACT, NO. 4 OF 2013), IN ORDER TO FACILITATE MY APPOINTMENT AND SERVICE AS A SERVICE PROVIDER, AND THAT SUCH PROCESSING SHALL BE DONE IN ACCORDANCE WITH SFF ASSOCIATION'S PRIVACY POLICY, WHICH IS AVAILABLE ON REQUEST.

I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT, ACTION MAY BE TAKEN AGAINST ME SHOULD THIS DECLARATION PROVE TO BE FALSE.

-----

Signature

-----

Date

-----

Position

-----

Name of Bidder

## ANNEXURE E: SBD1 FORM

### PART A INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF STRATEGIC FUEL FUND							
BID NUMBER:	<b>SFF/2023/087</b>	ISSUE DATE:	<b>16/01/24</b>	CLOSING DATE:	<b>15/02/24</b>	CLOSING TIME:	<b>16h00</b>
DESCRIPTION	<b>Appointment of A Service Provider To Develop And Structure The SFF Oil And Gas Upstream Africa Strategy</b>						
<b>BID RESPONSE DOCUMENTS MUST BE SUBMITTED ONLINE ON THE NATIONAL TREASURY E-TENDER PORTAL AT:</b>							
<b>WWW.ETENDERS.GOV.ZA</b>							
<b>BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO</b>				<b>TECHNICAL ENQUIRIES MAY BE DIRECTED TO:</b>			
CONTACT PERSON	<b>Casey Tarboton</b>			CONTACT PERSON	<b>Casey Tarboton</b>		
E-MAIL ADDRESS	<a href="mailto:caseyt@strategicfuelfund.co.za">caseyt@strategicfuelfund.co.za</a>			E-MAIL ADDRESS	<a href="mailto:caseyt@strategicfuelfund.co.za">caseyt@strategicfuelfund.co.za</a>		
SUPPLIER INFORMATION							
NAME OF BIDDER							
POSTAL ADDRESS							
STREET ADDRESS							
TELEPHONE NUMBER	CODE				NUMBER		
CELLPHONE NUMBER							
FACSIMILE NUMBER	CODE				NUMBER		
E-MAIL ADDRESS							
VAT REGISTRATION NUMBER							
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR		CENTRAL SUPPLIER DATABASE	UNIQUE REGISTRATION REFERENCE NUMBER: MAAA	
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	TICK APPLICABLE BOX]  <input type="checkbox"/> Yes <input type="checkbox"/> No		B-BBEE STATUS LEVEL SWORN AFFIDAVIT			[TICK APPLICABLE BOX]  <input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES &amp; QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]</b>							

<b>1 ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]	<b>2 ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER QUESTION AIRE BELOW ]
--	--	---	---

#### QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS

IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?	<input type="checkbox"/> YES <input type="checkbox"/> NO
DOES THE ENTITY HAVE A BRANCH IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?	<input type="checkbox"/> YES <input type="checkbox"/> NO

**IF THE ANSWER IS “NO” TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 1.3 BELOW.**

## PART B TERMS AND CONDITIONS FOR BIDDING

1. TAX COMPLIANCE REQUIREMENTS	
1.1	BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
1.2	BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
1.3	APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
1.4	BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
1.5	IN BIDS WHERE UNINCORPORATED CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
1.6	WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.

**NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.**

SIGNATURE OF BIDDER: .....

CAPACITY UNDER WHICH THIS BID IS SIGNED: .....

(Proof of authority must be submitted e.g. company resolution)

DATE:\_\_\_

## APPENDIX (I): SECURITY SCREENING FORM

I/We the under-signed in my/our capacity as indicated hereby declare that there are no criminal or civil proceedings being instituted neither are any such investigations pending against me/us or that I/We (company) have no court judgment taken against us.

### 18.4 PART 1

\* To be completed by the Tenderer – all fields are mandatory.

\* Failure to complete any field will nullify the application to tender.

<b>Name of Company</b>	<b>Registration number of Company</b>
<b>Physical Address</b>	<b>Vat Registration Number</b>
<b>Name of Auditing Firm</b>	<b>Previous Name/s of Company</b>
<b>Company Contact no. (Land line)</b>	<b>Company e-mail address</b>
<b>Name of Holding Company if any</b>	<b>Tender Number</b>
<b>Tax Number</b>	<b>Tender Description</b>
<b>Banking Details</b>	
<b>Bank Name:</b>	
<b>Branch Name:</b>	<b>Branch Code:</b>
<b>Acc Holder:</b>	<b>Acc Number:</b>

### 18.5 PART 2

<b>Directors' or Principals' Details</b>			
<b>Name &amp; Surname</b>	<b>Identity Number</b>	<b>Date of Appointment</b>	<b>Shares</b>
1.			
2.			
3.			
4.			
5.			

### 18.6 PART 3

<b>Declaration of Relationship with CEF Group, Employees</b>			
<b>Name &amp; Surname</b>	<b>Identity Number</b>	<b>Date of Appointment</b>	<b>Shares</b>
1.			
2.			
3.			
4.			
5.			

\*If more than five attach a list of relationships as annexure "B"

## APPENDIX (II): GENERAL TENDER CONDITIONS

The conditions of tender are the Standard Conditions of Tender as contained in Annex F of Board Notice 136 of 2015 in Government Gazette No. 38960 of 10 July 2015, Construction Industry Development Board (CIDB) Standard for Uniformity in Construction Procurement (see [www.cidb.org.za](http://www.cidb.org.za)).

The Standard Conditions of Tender make several references to the Tender Data for details that apply specifically to this tender. The Tender Data shall have precedence in the interpretation of any ambiguity or inconsistency between it and the standard conditions of tender. Each item of data given below is cross-referenced to the clause in the Standard Conditions of Tender to which it mainly applies.

Where not otherwise noted in the tender document, the following variations, amendments and additions to the Standard Conditions of Tender as set out below shall apply to this tender:

### General

- |  |   |  |
|--|---|--|
| <b>Actions</b>                                     | 1 | SFF's <i>Representative</i> and each <i>tenderer</i> submitting a tender shall act as stated in these Conditions of Tender and in a manner which is fair, equitable, transparent, competitive and cost-effective.  |
| <b>Interpretation</b>                              | 2 | Terms shown in <i>italics</i> vary for each tender. The details of each term for this tender are identified in the Request for Proposals / Scope of work/ specification. Terms shown in capital initials are defined terms in the appropriate conditions of contract.  |
|  | 3 | Any additional or amended requirements in the Scope of work/ specification, and additional requirements given in the Schedules in the <i>tender returnables</i> are deemed to be part of these Conditions of Tender.   |
|  | 4 | The Conditions of Tender and the Scope of work/ specification shall form part of any contract arising from this invitation to tender.  |
| <b>Communication</b>                               | 5 | Each communication between SFF and a <i>tenderer</i> shall be to or from SFF 's <i>Representative</i> only, and in a form that can be read, copied and recorded. Communication shall be in the English language. SFF takes no responsibility for non-receipt of communications from or by a <i>tenderer</i> .  |
| <b>SFF's rights to accept or reject any tender</b> | 6 | SFF may accept or reject any variation, deviation, tender, or alternative tender, and may cancel the tender process and reject all tenders at any time prior to the formation of a contract. SFF or SFF's <i>Representative</i> will not accept or incur any liability to a <i>tenderer</i> for such cancellation and rejection, but will give reasons for the action. SFF reserves the right to accept the whole of any part of any tender. |
|  | 7 | After the cancellation of the tender process or the rejection of all tenders   |

SFF may abandon the proposed work and services, have it performed in any other manner, or re-issue a similar invitation to tender at any time.

## Tenderer's obligations

The *tenderer* shall comply with the following obligations when submitting a tender and shall:

Eligibility	1	Submit a tender only if the <i>tenderer</i> complies with the criteria stated in the Scope of work/ specification.
Cost of tendering	2	Accept that SFF will not compensate the <i>tenderer</i> for any costs incurred in the preparation and submission of a tender.
Check documents	3	Check the <i>tender documents</i> on receipt, including pages within them, and notify SFF's <i>Representative</i> of any discrepancy or omissions in writing.
Copyright of documents	4	Use and copy the documents provided by SFF only for the purpose of preparing and submitting a tender in response to this invitation.
Standardised specifications and other publications	5	Obtain, as necessary for submitting a tender, copies of the latest revision of standardised specifications and other publications, which are not attached but which are incorporated into the <i>tender documents</i> by reference.
Acknowledge receipt	6	Preferably complete the Receipt of invitation to submit a tender form attached to the Letter of Invitation and return it within five days of receipt of the invitation.
	7	Acknowledge receipt of Addenda / Tender Briefing Notes to the <i>tender documents</i> , which SFF's <i>Representative</i> may issue, and if necessary apply for an extension to the <i>deadline for tender submission</i> , in order to take the Addenda into account.
Site visit and / or clarification meeting	8	Attend a site visit and/or clarification meeting at which <i>tenderers</i> may familiarise themselves with the proposed work, services or supply, location, etc. and raise questions, if provided for in the Scope of work/ specification. Details of the meeting are stated in the Scope of work/ specification.
Seek clarification	9	Request clarification of the <i>tender documents</i> , if necessary, by notifying SFF's <i>Representative</i> earlier than the <i>closing time for clarification of queries</i> .
Insurance	10	Be informed of the risk that needs to be covered by insurance policy. The <i>tenderer</i> is advised to seek qualified advice regarding insurance.
Pricing the tender	11	Include in the rates, prices, and the tendered total of the prices (if any) all duties, taxes (including VAT), and other levies payable by the successful <i>tenderer</i> . Such duties, taxes and levies are those applicable 14 days prior to the <i>deadline for tender submission</i> .



- |                                 |    |   |
|---------------------------------|----|---|
|                                 | 12 | Include Value Added Tax (VAT) payable to the tendered total of the prices.  |
|                                 | 13 | Provide rates and prices that are fixed for the duration of the contract and not subject to adjustment except as provided for in the <i>conditions of contract</i> .  |
|                                 | 14 | State the rates and Prices in South African Rand unless instructed otherwise as an additional condition in the Scope of work/ specification. The selected <i>conditions of contract</i> may provide for part payment in other currencies.   |
| <b>Alterations to documents</b> | 15 | Not make any alterations or additions to the <i>tender documents</i> , except to comply with instructions issued by SFF's <i>Representative</i> or if necessary to correct errors made by the <i>tenderer</i> . All such alterations shall be initialled by all signatories to the tender. Corrections may not be made using correction fluid, correction tape or the like.   |
| <b>Alternative tenders</b>      | 16 | Submit alternative tenders only if a main tender, strictly in accordance with all the requirements of the <i>tender documents</i> is also submitted. The alternative tender is submitted with the main tender together with a schedule that compares the requirements of the <i>tender documents</i> with the alternative requirements the <i>tenderer</i> proposes.  |
|                                 | 17 | Accept that an alternative tender may be based only on the criteria stated in the Scope of work/ specification and as acceptable to SFF.  |
| <b>Submitting a tender</b>      | 18 | Submit a tender for providing the whole of the works, services or supply identified in the Contract Data unless stated otherwise as an additional condition in the Scope of work/ specification.  |
| <b>NOTE:</b>                    | 19 | <b>Return the completed and signed <i>SFF Tender Forms provided with the tender</i>. <u>Failure to submit all the required documentation will lead to disqualification</u></b>  |
|                                 | 20 | <b>Submit the <u>tender as an original</u> plus 1 copies stated in the RFP and provide an English translation for documentation submitted in a language other than English. Tenders may not be written in pencil but must be completed in ink.</b>  |
|                                 | 21 | Sign and initial the original and all copies of the tender where indicated. SFF will hold the signatory duly authorised and liable on behalf of the <i>tenderer</i> .   |
|                                 | 22 | Seal the original and each copy of the tender as separate packages marking the packages as "ORIGINAL" and "COPY". Each package shall state on the outside SFF's address and invitation to tender number stated in the Scope of work/ specification, as well as the <i>tenderer's</i> name and contact address. Where the tender is based on a two envelop system tenderers must further indicate in the package where the document is envelop 1 or 2. |
|                                 | 23 | Seal original and copies together in an outer package that states on the  |

outside only SFF's address and invitation to tender number as stated in the Scope of work/ specification. The outer package must be marked "CONFIDENTIAL"

- 24 Accept that SFF will not assume any responsibility for the misplacement or premature opening of the tender if the outer package is not sealed and marked as stated.

Note:

SFF prefers not to receive tenders by post, and takes no responsibility for delays in the postal system or in transit within or between SFF offices.

SFF prefers not to receive tenders by fax, SFF takes no responsibility for difficulties in transmission caused by line or equipment faults.

Where tenders are sent via courier, SFF takes no responsibility for tenders delivered to any other site than the tender office.

SFF employees are not permitted to deposit a tender into the SFF tender box on behalf of a tenderer, except those lodged by post or courier.

- Closing time** 25 Ensure that SFF has received the tender at the address and in the tender box, or fax specified in the Scope of work/ specification no later than the *deadline for tender submission*. Proof of posting will not be taken by SFF as proof of delivery. SFF will not accept a tender submitted telephonically, by Fax, E-mail or by telegraph unless stated otherwise in the Scope of work/ specification.

- 26 Accept that, if SFF extends the *deadline for tender submission* for any reason, the requirements of these Conditions of Tender apply equally to the extended deadline.

- Tender validity** 27 Hold the tender(s) valid for acceptance by SFF at any time within the *validity period* after the *deadline for tender submission*.

- 28 Extend the *validity period* for a specified additional period if SFF requests the *tenderer* to extend it. A *tenderer* agreeing to the request will not be required or permitted to modify a tender, except to the extent SFF may allow for the effects of inflation over the additional period.

- Clarification of tender after submission** 29 Provide clarification of a tender in response to a request to do so from SFF's *Representative* during the evaluation of tenders. This may include providing a breakdown of rates or Prices. No change in the total of the Prices or substance of the tender is sought, offered, or permitted except as required by SFF's *Representative* to confirm the correction of arithmetical errors discovered in the evaluation of tenders. The total of the Prices stated by the *tenderer* as corrected by SFF's *Representative* with the concurrence of the *tenderer*, shall be binding upon the *tenderer*

- Submit bonds, policies etc.** 30 If instructed by SFF's *Representative* (before the formation of a contract), submit for SFF's acceptance, the bonds, guarantees, policies and certificates of insurance required to be provided by the successful *tenderer* in terms of

the *conditions of contract*.

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|-------------------------|----|--|
|                         | 31 | Undertake to check the final draft of the contract provided by SFF's <i>Representative</i> , and sign the Form of Agreement all within the time required by these Conditions of Tender in paragraphs 3.19, 3.20 and 3.22 for SFF to sign and issue the contract. |
|                         | 32 | Where an agent on behalf of a principal submits a tender, an authenticated copy of the authority to act as an agent must be submitted with the tender.   |
| Fulfil BEE requirements | 33 | Comply with SFF's requirements regarding BBEE Suppliers.   |

#### SFF's undertakings

SFF, and SFF's *Representative*, shall:

- |                          |   |  |
|--------------------------|---|--|
| Respond to clarification | 1 | Respond to a request for clarification received earlier than the <i>closing time for clarification of queries</i> . The response is notified to all <i>tenderers</i> .   |
| Issue Addenda            | 2 | If necessary, issue to each <i>tenderer</i> from time to time during the period from the date of the Letter of Invitation until the <i>closing time for clarification of queries</i> , Addenda that may amend, amplify, or add to the <i>tender documents</i> . If a <i>tenderer</i> applies for an extension to the <i>deadline for tender submission</i> , in order to take Addenda into account in preparing a tender, SFF may grant such an extension and SFF's <i>Representative</i> shall notify the extension to all <i>tenderers</i> .   |
| Return late tenders      | 3 | Return tenders received after the <i>deadline for tender submission</i> unopened to the <i>tenderer</i> submitting a late tender. Tenders will be deemed late if they are not in the designated tender box at the date and time stipulated as the deadline for tender submission.  |
| Non-disclosure           | 4 | Not disclose to <i>tenderers</i> , or to any other person not officially concerned with such processes, information relating to the evaluation and comparison of tenders and recommendations for the award of a contract.<br>Respect and maintain the privacy and confidentiality of any information and data received and in particular undertakes that it will not process any personal information in respect of such private and confidential information of the Tenderer or in respect of which it has a responsibility to protect.<br>Ensure that such information and data are used only for the purpose of giving effect to the relevant provisions of this RFP and for no other purpose, save as may otherwise be agreed in writing with SFF. |
| Grounds                  | 5 | Consider rejecting a tender if there is any effort by a <i>tenderer</i> to influence   |

for rejection		the processing of tenders or contract award.
<b>Disqualification</b>	6	Instantly disqualify a <i>tenderer</i> (and his tender) if it is established that the <i>tenderer</i> offered an inducement to any person with a view to influencing the placing of a contract arising from this invitation to tender.
<b>Test for responsiveness</b>	7	Determine before detailed evaluation, whether each tender properly received <ul style="list-style-type: none"> <li>• meets the requirements of these Conditions of Tender,</li> <li>• has been properly signed, and</li> <li>• is responsive to the requirements of the <i>tender documents</i>.</li> </ul>
	8	Judge a responsive tender as one which conforms to all the terms, conditions, and specifications of the <i>tender documents</i> without material deviation or qualification. A material deviation or qualification is one which, in SFF 's opinion would <ul style="list-style-type: none"> <li>• detrimentally affect the scope, quality, or performance of the works, services or supply identified in the Contract Data,</li> <li>• change SFF's or the <i>tenderer's</i> risks and responsibilities under the contract, or</li> <li>• affect the competitive position of other <i>tenderers</i> presenting responsive tenders, if it were to be rectified.</li> </ul>
<b>Non-responsive tenders</b>	10	Reject a non-responsive tender, and not allow it to be subsequently made responsive by correction or withdrawal of the non-conforming deviation or reservation.
<b>Arithmetical errors</b>	11	Check responsive tenders for arithmetical errors, correcting them as follows: <ul style="list-style-type: none"> <li>• Where there is a discrepancy between the amounts in figures and in words, the amount in words shall govern.</li> <li>• If a bill of quantities applies and there is a discrepancy between the rate and the line item total, resulting from multiplying the rate by the quantity, the rate as quoted shall govern. Where there is an obviously gross misplacement of the decimal point in the rate, the line item total as quoted shall govern, and the rate will be corrected.</li> <li>• Where there is an error in the total of the Prices, either as a result of other corrections required by this checking process or in the <i>tenderer's</i> addition of prices, the total of the Prices, if any, will be corrected.</li> </ul>

	12	Reject a tender if the <i>tenderer</i> does not accept the corrected total of the Prices (if any).
<b>Evaluating the tender</b>	13	Evaluate responsive tenders in accordance with the procedure stated in the RFP / Scope of work/ specification. The evaluated tender price will be disclosed only to the relevant SFF tender committee and will not be disclosed to <i>tenderers</i> or any other person.
<b>Clarification of a tender</b>	14	Obtain from a <i>tenderer</i> clarification of any matter in the tender which may not be clear or could give rise to ambiguity in a contract arising from this tender if the matter were not to be clarified.
<b>Acceptance of tender</b>	15	Notify SFF's acceptance to the successful <i>tenderer</i> before the expiry of the <i>validity period</i> , or agreed additional period. Providing the notice of acceptance does not contain any qualifying statements, it will constitute the formation of a contract between SFF and the successful <i>tenderer</i> .
<b>Notice to unsuccessful tenderers</b>	16	After the successful <i>tenderer</i> has acknowledged SFF's notice of acceptance, notify other <i>tenderers</i> that their tenders have not been accepted, following SFF's current procedures and shall deal with the disposal of any personal information in accordance with SFF's data retention, storage and disposal policy.
<b>Prepare contract documents</b>	17	Revise the contract documents issued by SFF as part of the <i>tender documents</i> to take account of <ul style="list-style-type: none"> <li>• Addenda issued during the tender period,</li> <li>• inclusion of some of the <i>tender returnables</i>, and</li> <li>• other revisions agreed between SFF and the successful <i>tenderer</i>, before the issue of SFF's notice of acceptance (of the tender).</li> </ul>
<b>Issue final contract</b>	18	Issue the final contract documents to the successful <i>tenderer</i> for acceptance within one week of the date of SFF's notice of acceptance.
<b>Sign Form of Agreement</b>	19	Arrange for authorised signatories of both parties to complete and sign the original and one copy of the Form of Agreement within two weeks of the date of SFF's notice of acceptance of the tender. If either party requires the signatories to initial every page of the contract documents, the signatories for the other party comply with the request.
<b>Provide copies of the contracts</b>	20	Provide to the successful <i>tenderer</i> the number of copies stated in the Scope of work/ specification of the signed copy of the contracts within three weeks of the date of SFF's acceptance of the tender.

## APPENDIX (III): SAFETY ARRANGEMENTS AND PROCEDURAL COMPLIANCE WITH THE OCCUPATIONAL HEALTH AND SAFETY ACT (ACT 85 OF 1993) AND APPLICABLE REGULATIONS

### 1. General

- 1.1 The Contractor and SFF (hereinafter referred to as “the Client”) are individual employers, each in its own right, with their respective duties and obligations set out in the Occupational Health and Safety Act, Act 85 of 1993 (the Act) and applicable Regulations.
- 1.2 The Contractor accepts, in terms of the General Conditions of Contract and in terms of the Act, his obligations as an employer in respect of all persons in his employ, other persons on the premises or the Site or place of work or on the work to be executed by him, and under his control. He shall, before commencement with the execution of the contract work, comply with the provisions set out in the Act, and shall implement and maintain a Health and Safety Plan as described in the Construction Regulations, 2003 and as approved by SFF, on the Site and place of work for the duration of the Contract.
- 1.3 The Contractor accepts his obligation to complying fully with the Act and applicable Regulations notwithstanding the omission of some of the provisions of the Act and the Regulations from this document.
- 1.4 SFF accepts, in terms of the Act, its obligations as an employer of its own employees working on or associated with the site or place of work, and the Contractor and Procurement Manager / SHEQ Manager / Technical Manager or his deputy shall at all times, co-operate in respect of the health and safety management of the site, and shall agree on the practical arrangements and procedures to be implemented and maintained during execution of the Works.
- 1.5 In the event of any discrepancies between any legislation and this specification, the applicable legislation will take precedence.

### 2. Definitions

- 2.1 In this Specification any word or expression to which a meaning has been assigned in the Construction Regulations, shall have the meaning so assigned to it, unless the context otherwise indicates: -
- 2.2 **“competent person”** in relation to construction work, means any person having the knowledge, training and experience specific to the work or task being performed:  
Provided that where appropriate qualifications and training are registered as per the South African Qualifications Authority Act, 1995 these qualifications and training shall be deemed to be the required qualifications and training;
- 2.4 **“contractor”** means principal contractor and **“subcontractor”** means contractor as defined by the Construction Regulations, 2003.
- 2.5 **“fall protection plan”** means a documented plan, of all risks relating to working from an elevated position, considering the nature of work undertaken, and setting out the procedures and methods applied to eliminate the risk;
- 2.6 **“health and safety file”** means a file or other record in permanent form, containing the information required to be kept on site in accordance with the Act and applicable Regulations;

- 2.7 **“Health and Safety Plan”** means a documented plan which addresses the hazards identified and include safe work procedures to mitigate, reduce or control the hazards identified;
- 2.8 **“Risk Assessment”** means a programme to determine any risk associated with any hazard at a construction site, in order to identify the steps needed to be taken to remove, reduce or control such hazard;
- 2.9 **“the Act”** means the Occupational Health and Safety Act No. 85 of 1993.
3. The Contractor shall, in accordance with the Act and applicable Regulations, make all the necessary appointments of competent persons in writing on a form similar to Annexure 2 of this Specification and deliver copies thereof to the Procurement Manager / SHEQ Manager / Technical Manager. Copies should also be retained on the health and safety file.
- 3.1 Subcontractors shall also make the above written appointments and the Contractor shall deliver copies thereof to the Procurement Manager / SHEQ Manager / Technical Manager.
- 3.2 In the case of a self-employed Contractor or any subcontractor who has the appropriate competencies and supervises the work himself, the appointment of a construction supervisor in terms of regulation 6.1 of the Construction Regulations, 2003 will not be necessary. The Contractor shall in such a case execute and sign a declaration, as in Annexure 3, by which he personally undertakes the duties and obligations of the "Chief Executive Officer" in terms of section 16(1) of the Act.
- 3.3 The Contractor shall, before commencing any work, obtain from the Procurement Manager / SHEQ Manager / Technical Manager an access certificate as in Annexure 4 executed and signed by him, permitting and limiting access to the designated site or place of work by the Contractor and any subcontractors under his control.
- 3.4 Procedural compliance with Act and Regulations, as above, shall also apply to any subcontractors as employers in their own right. The Contractor shall furnish the Procurement Manager / SHEQ Manager / Technical Manager with full particulars of such subcontractors and shall ensure that they comply with the Act and Regulations and safety requirements and procedures.

#### 4. **Special Permits**

Where special permits are required before work may be carried out such as for hot work, isolation permits, work permits and occupations, the Contractor shall apply to the Procurement Manager / SHEQ Manager / Technical Manager or the relevant authority for such permits to be issued. The Contractor shall strictly comply with the conditions and requirements pertaining to the issue of such permits.

#### 5. **Health and Safety Programme**

- 5.1 The Tenderer shall, with his tender, submit a Health and Safety Programme setting out the practical arrangements and procedures to be implemented by him to ensure compliance by him with the Act and Regulations and particularly in respect of: -
- (i) The provision, as far as is reasonably practical, of a working environment that is safe and without risk to the health of his employees and subcontractors in terms of section 8 of the Act;



- (ii) the execution of the contract work in such a manner as to ensure in terms of section 9 of the Act that persons other than those in the Contractor's employment, who may be directly affected by the contract work are not thereby exposed to hazards to their health and safety;
  - (iv) ensuring, as far as is reasonably practical, in terms of section 37 of the Act that no employee or subcontractor of the Contractor does or omits to do any act which would be an offence for the Contractor to do or omit to do.
- 5.2 The Contractor's Health and Safety Programme shall be based on a risk assessment in respect of the hazards to health and safety of his employees and other persons under his control that are associated with or directly affected by the Contractor's activities in performing the contract work and shall establish precautionary measures as are reasonable and practical in protecting the safety and health of such employees and persons.
- 5.3 The Contractor shall cause a risk assessment contemplated in clause 5.2 above to be performed by a competent person, appointed in writing, before commencement of any **Construction Work** and reviewed during construction. The Risk Assessments shall form part of the Health and Safety programme to be applied on the site and shall include at least the following:
- The identification of the risks and hazards that persons may be exposed to;
  - the analysis and evaluation of the hazards identified;
  - a documented Health and Safety Plan, including safe work procedures to mitigate, reduce or control the risks identified;
  - a monitoring and review plan.
- 5.4 The Health and Safety Plan shall include full particulars in respect of: -
- The safety management structure to be instituted on site or place of work and the names of the Contractor's health and safety representatives and members of safety committees where applicable;
  - the safe working methods and procedures to be implemented to ensure the work is performed in compliance with the Act and Regulations;
  - the safety equipment, devices and clothing to be made available by the Contractor to his employees;
  - the site access control measures pertaining to health and safety to be implemented;
  - The introduction of control measures for ensuring that the Safety Plan is maintained and monitored for the duration of the Contract.
- 5.5 The Health and Safety programme shall be subject to the Procurement Manager / SHEQ Manager / Technical Manager's approval and he may, in consultation with the Contractor, order that additional and/or supplementary practical arrangements and procedures be implemented and maintained by the Contractor or that different working methods or safety equipment be used or safety clothes be issued which, in the Procurement Manager / SHEQ Manager / Technical Manager's opinion, are necessary to ensure full compliance by the Contractor with his obligations as an employer in terms



of the Act and Regulations. The Procurement Manager / SHEQ Manager / Technical Manager or his deputy shall be allowed to attend meetings of the Contractor's safety committee as an observer.

- 5.6 The Contractor shall take reasonable steps to ensure that each subcontractor's Health and Safety Plan is implemented and maintained on the construction site: Provided that the steps taken shall include periodic audits at intervals mutually agreed to between the them, but at least once every month.
- 5.7 The Contractor shall stop any subcontractor from executing any construction work, which is not in accordance with the Contractor's, and/or subcontractor's Health and Safety Plan for the site or which poses a threat to the health and safety of persons.
- 5.8 The Contractor shall ensure that a copy of the Health and Safety Plan is available on site for inspection by an inspector, Procurement Manager / SHEQ Manager / Technical Manager, agent, subcontractor, employee, registered employee organization, health and safety representative or any member of the health and safety committee.
- 5.9 The Contractor shall consult with the health and safety committee or, if no health and safety committee exists, with a representative group of employees, on the development, monitoring and review of the Risk Assessment.
- 5.10 The Contractor shall ensure that all employees under his control are informed, instructed and trained by a competent person regarding any hazard and the related work procedures before any work commences, and thereafter at such times as may be determined in the Risk Assessment.
- 5.11 The Contractor shall ensure that all subcontractors are informed regarding any hazard as stipulated in the Risk Assessment before any work commences, and thereafter at such times as may be determined in the Risk Assessment.
- 5.12 The Contractor shall ensure that all visitors to a construction site undergoes health and safety induction pertaining to the hazards prevalent on the site and shall be provided with the necessary personal protective equipment.

## **6. Fall Protection Plan**

- 6.1 In the event of the risk and hazard identification, as required in terms of clause 5.3 of this Specification, revealing risks relating to working from an elevated position the contractor shall cause the designation of a competent person, responsible for the preparation of a fall protection plan;
- 6.2 The Contractor shall implement, maintain and monitor the fall protection plan for the duration of Contract. The Contractor shall also take such steps to ensure the continued adherence to the fall protection plan.
- 6.3 The fall protection plan shall include: -
  - A Risk Assessment of all work carried out from an elevated position;
  - The procedures and methods to address all the identified risks per location;
  - The evaluation of the employees' physical and psychological fitness necessary to work at elevated positions;

- The training of employees working from elevated positions; and
- The procedure addressing the inspection, testing and maintenance of all fall protection equipment.

## 7. Hazards and Potential Hazardous Situations

The Contractor and the Procurement Manager / SHEQ Manager / Technical Manager shall immediately notify one another of any hazardous or potentially hazardous situations which may arise during performance of the Contract by the Contractor or any subcontractor and, in particular, of such hazards as may be caused by the design, execution and/or location and any other aspect pertaining to the contract work.

## 8. Health and Safety File

- 8.1 The Contractor shall ensure that a health and safety file is opened and kept on site and shall include all documentation required as per the Act and applicable regulations, and made available to an inspector, the Procurement Manager / SHEQ Manager / Technical Manager, or subcontractor upon request.
- 8.2 The Contractor shall ensure that a copy of the both his Health and Safety Plan as well as any subcontractor's Health and Safety Plan is available on request to an employee, inspector, contractor or the Procurement Manager / SHEQ Manager / Technical Manager.
- 8.3 The Contractor shall hand over a consolidated health and safety file to the Procurement Manager / SHEQ Manager / Technical Manager upon completion of the **Works** and shall in addition to documentation mentioned in the Act and applicable Regulations include a record of all drawings, designs, materials used and other similar information concerning the completed structure.

Item	Deliverable
a	HSE philosophy
b	Emission, effluents and waste materials register
c	Environmental impact assessment support as required
d	Preliminary Material Safety Data Sheets (especially for hazardous substances).
e	Qualitative risk analysis
f	Hazard identification study

### HSE philosophy

Inherent safety and protection of the environment is a prerequisite from the beginning of the design process. The HSE philosophy must be included in the design premise document, and should include considerations on waste reduction, minimisation of fugitive emissions, ground water pollution prevention, minimisation of manning levels for hazardous processes, hazardous materials handling, early detection systems and specific measures to reduce risks to ALARP levels.

### Hazard identification study

A systematic evaluation of all hazards shall be performed in the hazard study. The hazard study is the basis for the area classification drawings and may alter the location of for example buildings, process

units on the plot plan or may lead to additional preventive measures (firewalls, other material types etc) being required.